

## Connections I: Covariant Derivatives

We now come to connections, one of the crucial notions in differential geometry. There are roughly 4 different and closely related versions of a connection:

- 1) Covariant derivative (Linear connection in a vector bundle)
- 2) Principal connection in a Principal  $G$ -bundle.
- 3) Horizontal distribution (Ehresmann connection)
- 4) Parallel Transport Maps.

[N.B. They are not all exactly equivalent]

Covariant derivatives. As motivation, consider

$$M = \text{smooth manifold}$$

$$C^\infty(M) = \text{smooth real valued functions on } M.$$

$$\mathcal{E}(M) = \text{smooth vector fields on } M.$$

Algebra:

$C^\infty(M)$  is a commutative ring, and a module for  $\mathcal{E}(M)$

Action  $\mathcal{E}(M) \times C^\infty(M) \rightarrow C^\infty(M)$

$$(X, f) \longmapsto X.f$$

where  $X.f$  denotes directional derivative of  $f$  in direction  $X$ .  
in local coordinates  $(x^1, \dots, x^n)$

$$X = \sum_{i=1}^n X^i(x) e_i \quad \left( e_i = \frac{\partial}{\partial x^i} \text{ coordinate frame} \right)$$

$$X.f = \sum_{i=1}^n X^i(x) \frac{\partial f}{\partial x^i}$$

This action has the algebraic property of being a derivation  
 $X.(fg) = (X.f)g + f(X.g)$  "product rule"  
 "Leibniz rule"

( For  $R$  a ring, here derivations  
 $\text{Der}(R) = \{ P: R \rightarrow R \mid P \text{ linear, } P(ab) = P(a)b + aP(b) \}$  )

Thus there is a map  $\mathcal{X}(M) \rightarrow \text{Der}(C^\infty(M))$   
 It is in fact a homomorphism of Lie algebras.  
 (w/ right sign convention)

Thus vector fields yield "first-order differential operators" on  $C^\infty(M)$   
 We want to extend this to vector bundles, where  $C^\infty(M)$   
 is replaced by the space of smooth sections.

$\pi: E \rightarrow M$  = smooth vector bundle  
 $\Gamma(M, E)$  = smooth sections  $s: M \rightarrow E$ .

By fiber wise addition and scalar mult,  $\Gamma(M, E)$  is a  $\mathbb{R}$ -vector space  
 It is also a  $C^\infty(M)$ -module

$$C^\infty(M) \times \Gamma(M, E) \rightarrow \Gamma(M, E)$$

$$(f(x), s(x)) \rightarrow f(x) \cdot s(x)$$

↖ scalar mult in  $E_x$ .

Def  
 A covariant derivative in  $E$  is a map.  
 $\nabla: \mathcal{X}(M) \times \Gamma(M, E) \rightarrow \Gamma(M, E)$   
 (X, s) ↦  $\nabla_X s$   
 satisfying:  $\mathbb{R}$ -linearity  $\nabla_X (as_1 + bs_2) = a(\nabla_X s_1) + b(\nabla_X s_2)$   $a, b \in \mathbb{R}$

• Leibniz rule  $\nabla_X (f \cdot s) = (X \cdot f) s + f \nabla_X s$   $f \in C^\infty(M)$

• Tensorability in  $\mathcal{X}(M)$

$$\nabla_{(aX+bY)} s = a \nabla_X s + b \nabla_Y s$$

$$\nabla_{(fX)} s = f \nabla_X s$$

$f \in C^\infty(M)$

The first two conditions say that  $\nabla_X$  is a "first-order differential operator" on  $\Gamma(M, E)$ .

The condition of "Tensoriality" implies that, for a given point  $x \in M$ , the quantity  $(\nabla_X s)(x)$  depends on  $X(x)$ , not the values of  $X$  at nearby points. (similar to  $(X.f)(x)$ ) (Exercise).

Exercise: Every vector bundle over a (2nd countable Hausdorff) manifold admits a covariant derivative/Connection.

Just as a vector field has a local description  $X = \sum_{i=1}^n X^i(x) \frac{\partial}{\partial x^i}$  so does a connection.

Let  $(x^1, \dots, x^n)$  denote local coordinates on  $M$  defined in  $U \subset M$   
 let  $(s_1(x), \dots, s_r(x))$  denote a local frame for  $E$ , defined in  $U$   
 (i.e. we are assuming  $E$  is trivialized over  $U$ )

Let  $e_i = \frac{\partial}{\partial x^i}$  denote the coordinate vector fields



As  $\nabla_{e_i} s_j$  is a section of  $E$ , it may be written uniquely as

$$(\nabla_{e_i} s_j)(x) = \sum_{k=1}^r \Gamma_{ij}^k(x) s_k(x)$$

Prop: the  $n \cdot r^2$  functions  $(\Gamma_{ij}^k(x))_{\substack{i=1, \dots, n \\ j=1, \dots, r \\ k=1, \dots, r}}$  determine  $\nabla$  in  $U$ .

Proof Consider  $X = \sum_{i=1}^n X^i(x) e_i$      $s(x) = \sum_{j=1}^r a^j(x) s_j(x)$

$$\text{Then } \nabla_X s = \nabla_{\sum X^i(x) e_i} s = \sum_{i=1}^n X^i(x) \nabla_{e_i} s$$

$$\text{and } \nabla_{e_i} s = \nabla_{e_i} \left( \sum_{j=1}^r a^j(x) s_j(x) \right) = \sum_{j=1}^r \nabla_{e_i} (a^j(x) s_j(x))$$

$$= \sum_{j=1}^r \left( \frac{\partial a^j}{\partial x^i} s_j(x) + a^j(x) \nabla_{e_i} s_j(x) \right)$$

$$= \sum_{j=1}^r \left( \frac{\partial a^j}{\partial x^i} s_j(x) + a^j(x) \sum_{k=1}^r \Gamma_{ij}^k(x) s_k(x) \right) \quad \square$$

The functions  $\Gamma_{ij}^k(x)$  are called "coefficients" or "Christoffel symbols" of the connection. They are very much tied to the choice of coordinates and the local frame  $\{s_j(x)\}$ .

To get something more invariant, we consider the difference of two connections.

Prop Let  $\nabla^1$  and  $\nabla^2$  be two connections in the same bundle  $E \rightarrow M$ . Then there is a section  $a \in \Gamma(M, T^*M \otimes \text{Hom}(E, E))$  such that

$$\nabla_x^2 s - \nabla_x^1 s = a(x) \cdot s$$

[ Notation: for  $X \in \mathcal{X}(M)$ ,  $a(x)$  denotes pairing the one-form part of  $a$  with  $X$ . The result is a section of  $\text{Hom}(E, E)$ , which we apply to  $s$  ]

Pf let  $A(X, s) = \nabla_X^2 s - \nabla_X^1 s$   
 Since  $\nabla^2$  and  $\nabla^1$  are connections, we find

$$A(f(x)X + g(x)Y, s) = f(x)A(X, s) + g(x)A(Y, s) \quad f, g \in C^\infty(M)$$

because both  $\nabla^1$  and  $\nabla^2$  satisfy

$$\nabla_{f(x)X + g(x)Y} s = f(x)\nabla_X s + g(x)\nabla_Y s \quad \text{Tensoriality in the vector input}$$

But we also have  $A(X, fs_1 + gs_2) = fA(X, s_1) + gA(X, s_2)$   
 for any  $f, g \in C^\infty(M)$  (even though  $\nabla^1, \nabla^2$  don't have this property)

The important thing is to show  $A(X, fs) = fA(X, s)$ ,  $f \in C^\infty(M)$

$$\text{Indeed: } A(X, fs) = \nabla_X^2(fs) - \nabla_X^1(fs)$$

$$= X.f + f\nabla_X^2 s - (X.f + f\nabla_X^1 s)$$

$$= f\nabla_X^2 s - f\nabla_X^1 s = f(\nabla_X^2 s - \nabla_X^1 s) = fA(X, s)$$

Thus the function  $A(X, s)$  is tensorial in both inputs

This means it necessarily has the form

$$A(X, s) = a(X) \cdot s$$

for some  $a \in \Gamma(M, T^*M \otimes \text{Hom}(E, E))$ . ▣

Since we've encountered it a couple of times, here is a prototype for this sort of "tensoriality" argument:

Suppose  $\Omega: \Gamma(M, E) \rightarrow C^\infty(M)$  is an  $\mathbb{R}$ -linear map.

$\Omega$  is tensorial if  $F$  is  $C^\infty(M)$ -linear:

$$\Omega(fs) = f\Omega(s) \quad f \in C^\infty(M)$$

Thm: If  $\Omega$  is tensorial then  $\exists!$   $\omega \in \Gamma(M, E^V)$   
 s.t.  $\Omega(s)(x) = \langle \omega(x), s(x) \rangle \quad \forall x \in M$   
 where  $\langle, \rangle$  denotes fiberwise pairing of  $E_x^V$  with  $E_x$

(That is, a  $C^\infty(M)$ -linear functional on sections arises from a section of the bundle of linear functionals)

Proof: Step 1  $\Omega$  is local:  $\text{supp } \Omega(s) \subset \text{supp } s = \overline{\{s \neq 0\}}$

For suppose not: then  $\exists x_0 \in \text{supp } \Omega(s)$ ,  $x_0 \notin \text{supp } s$

Since  $x_0 \notin \text{supp } s \exists$  open  $U \ni x_0$  such that  $s=0$  in  $U$

Let  $\varphi$  be a bump function which is 1 near  $x_0$ , and 0 outside

$U$ . Then  $\varphi s = 0$  so  $0 = \Omega(\varphi s) = \varphi \Omega(s)$

But  $\varphi \Omega(s) = 1 \cdot \Omega(s)$  near  $x_0$  and  $x_0 \in \text{supp } \Omega(s)$ ,  
 so there are points arbitrarily close to  $x_0$  where  $\Omega(s) \neq 0$

This is a contradiction.

Thus if  $U$  is open and  $s(x) = 0 \quad \forall x \in U$ ,  $\Omega(s)(x) = 0 \quad \forall x \in U$

It follows that if  $s_1(x) = s_2(x) \quad \forall x \in U$ , then  $\Omega(s_1)(x) = \Omega(s_2)(x) \quad \forall x \in U$

Choosing  $U$  small enough, we have a local frame  $\{s_j(x)\}^r$ .

In  $U$  any section  $s$  has

$$(s|_U)(x) = \sum_{j=1}^r a^j(x) s_j(x) \quad \text{for some } a^j(x) \in C^\infty(U)$$

$\Omega(s)|_U$  is determined by  $s|_U$

$$\forall x \in U \quad \Omega(s|_U)(x) = \Omega\left(\sum_{j=1}^r a^j(x) s_j(x)\right) = \sum_{j=1}^r a^j(x) \Omega(s_j(x))$$

Now for a single point  $x_0$ :  $s(x_0) = 0 \Rightarrow$  all  $a^j(x_0) = 0 \Rightarrow \Omega(s)(x_0) = 0$ .

It follows that if  $s_1(x_0) = s_2(x_0)$  then  $\Omega(s_1)(x_0) = \Omega(s_2)(x_0)$

Thus for each fixed  $x_0$ ,  $\Omega$  determines a linear function.

$$\begin{aligned} \omega(x_0) : E_{x_0} &\rightarrow \mathbb{R} \\ v &\rightarrow \langle \omega(x_0), v \rangle \end{aligned}$$

(choose local section  $s$  such that  $s(x_0) = v$ . then take  $\Omega(s)(x) \in \mathbb{R}$   
this defines  $\langle \omega(x_0), v \rangle$ )

We conclude that there is a (possibly discontinuous) section  $\omega \in \Gamma(M, E^V)$  such that

$$\Omega(s)(x) = \langle \omega(x), s(x) \rangle$$

The fact that  $\omega$  is actually smooth follows from the fact that  $\Omega(s)$  is smooth for every smooth  $s$ .